

Appl. No. 10/782,076  
Dated October 13, 2009  
Telephone interview Oct. 13, 2009

Patent  
Conformation No. 4532

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |   |                 |                 |
|-------------|---|-----------------|-----------------|
| Applicant:  | LIN, CHUN-CHENG                         | Examiner:       | ARNOLD, ERNST V |
| Serial No.: | 10/782,076                              | Group Art Unit: | 1616            |
| Filed:      | 02/19/2004                              | Docket No.:     | 10011-00048     |
| Title:      | Carbohydrate encapsulated nanoparticles |                 |                 |

**STATEMENT OF THE SUBSTANCE OF THE INTERVIEW**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

October 13, 2009

**CUSTOMER NO. 74603**

Dear Sir:

Submitted herewith is a statement of the substance of the interview conducted on October 13, 2009.

**Record of Interview** begins on page 2 of this paper.

**RECORD OF INTERVIEW**

Applicant through the attorney on the record and identified below thanks the Examiner ARNOLD, ERNST V for the time spent in having a telephone interview with Applicant on October 13, 2009.

Pursuant to 37 C.F.R. § 1.133(b), the following is submitted as a complete written statement of the reasons presented at the interview as warranting favorable action. The following statement is intended to comply with the requirements of MPEP § 713.04 and expressly sets forth: (A) a brief description of the nature any exhibit shown or any demonstration conducted; (B) identification of the claims discussed; (C) identification of specific prior art discussed; (D) identification of the principal proposed amendments of a substantive nature discussed; (E) the general thrust of the principal arguments; (F) a general indication of any other pertinent matters; and (G) the general results or outcome of the interview, if appropriate.

On October 13, 2009, the undersigned contacted the examiner to discuss proposed claim amendments and the cited reference de la Fuente et al. (A) No exhibits were shown or discussed; (B) proposed amendments were discussed; (C) the cited reference de la Fuente et al. was discussed; (D) Examiner stated that the proposed claim amendments have been narrowed and may remove 102 art ; (E) Examiner indicated that the linker "5-thio-pentan-1-ol" would require further search; (F) Examiner indicated that proposed 1.131 declaration would remove the reference Lin et al.; and (G) Examiner indicated that the telephone interview has been beneficial as it went to the heart matter, and that he would help Applicant find allowable subject matter and avoid the need for issuing another final Office Action or filing another RCE.

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In the event that the foregoing record is not considered complete and accurate, the Examiner is respectfully requested to bring any incompleteness or inaccuracy to the attention of the undersigned.

Respectfully submitted,

October 13, 2009

/Hsiu-Ming Saunders /  
Hsiu-Ming Saunders, Ph.D.  
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Reg. No. 47,055

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